

AUSTRALIAN HARNESS RACING RULES Rules Amendments - HRA approved 28 October 2013

DICTIONARY

Add Definitions

"**Bet**" or "**betting**" means to make either directly or indirectly a monetary investment on the outcome of a race.

"**Race day**" or "**day of the race**" means the period commencing at midnight on the day of the race for which the horse is nominated and concluding at the time the horse is lawfully removed from the racecourse after competing in the race for which it was nominated.

3. MEETINGS AND RACES

Appointed times

- **Amend Rule 41(1), (2)**
- **Add new Rule 41(3)**

41. (1) A horse entered to race at a meeting shall be at the racecourse at which the meeting is to be conducted sixty minutes before the scheduled start time of the race or such other time as determined by the Controlling Body.

(2) Once present in accordance with sub-rule (1), a horse shall remain within the stabling area until it has completed its racing engagement unless otherwise approved by the Stewards.

(3) For the purposes of sub-rule (2), "stabling area" means that section of the racecourse at which the meeting is to be conducted used to stable horses entered to race at the meeting.

Tactics

- **Amend Rule 44(3)**
- **Add new Rule 44(5)**

44. (3) In the event of the Stewards approving a change of tactics, then in the absence of unforeseen circumstances, the horse shall be driven in accordance with the approved change.

(5) For the purposes of determining the usual racing pattern of a horse, the Stewards shall take into account the manner in which the horse has been driven at its most recent starts.

8. REQUIRED RACING STANDARD

Race to win or for best position

- **Amend sub-rules 149(4), (5)**

149. (4) The connections of a horse shall not give a direction or instruction to the driver of that horse if that direction or instruction is unreasonable or is likely to prevent the driver from giving the horse full opportunity to win or obtain the best possible placing in the field.

(5) A person who fails to comply with sub-rule (4) is guilty of an offence.

9. DRIVERS

relating to the preliminary

- **Amend sub-rule 160(1)**

160. (1) Every driver when entering upon the track to compete in a race shall unless otherwise directed by the Stewards only drive the horse in its preliminary the correct way of the course.

relating to betting

- **Add new sub-rule 173(4)**

173. (4) For the purposes of this rule, betting area means those areas of a racecourse where betting with an approved wagering operator is conducted.

12. PROHIBITED SUBSTANCES

Out of Competition Testing

- **Repeal existing Rule 190A and add new Rule 190A**

190A. (1) When a sample taken at any time from a horse being trained or cared for by a licensed person has detected in it any prohibited substance specified in sub-rule (2):-

(a) The trainer and any other person who was in charge of such horse at the relevant time shall be guilty of an offence.

(b) The horse may be disqualified from any race in which it has competed subsequent to the taking of such a sample where, in the opinion of the Stewards, the prohibited substance was likely to have had any direct and/or indirect effect on the horse at the time of the race.

(2) For the purpose of sub-rule (1), the following substances are specified as prohibited substances:-

- (a) erythropoiesis – stimulating agents, including but not limited to erythropoietin (EPO), epoetin alfa, epoetin beta, darbepoetin alfa, and methoxy polyethylene glycolepoetin beta (*Mircera*);
- (b) insulins;
- (c) growth hormones;
- (d) insulin-like growth factor-1;
- (e) substances listed in Schedule 8 and Schedule 9 of the *Standard for the Uniform Scheduling of Medicines and Poisons* contained in the Australian *Poisons Standard*;
- (f) selective androgen receptor modulators (SARMS);
- (g) selective estrogen receptor modulators (SERMS);
- (h) selective opiate receptor modulators (SORMS);
- (i) peroxisome proliferator activated receptor 8 (PPAR8) agonists, including but not limited to GW 1516;
- (j) AMPK activators, including but not limited to AICAR (5-amino-1-β-D-ribofuranosyl-imidazole-4-carboxamide);
- (k) other agents that directly or indirectly affect or manipulate gene expression;
- (l) hypoxia inducible factor (HIF)-1 stabilisers, including but not limited to ITPP (myoinositol trispyrophosphate);
- (m) agents modifying myostatin function, including but not limited to myostatin inhibitors;
- (n) oxygen carriers including but not limited to perfluorochemicals, efarproxiral and modified haemoglobin products;
- (o) thymosin beta;
- (p) venoms of any species or derivatives thereof;
- (q) synthetic proteins and peptides and synthetic analogues of endogenous proteins and peptides not registered for medical or veterinary use;
- (r) metabolites, artifacts and isomers of any of the substances specified in paragraphs (a) to (q);

(3) The substances bufotenine, butorphanol, 3-(2-dimethylaminoethyl)-4-hydroxyindole, N,N-dimethyltryptamine, Ketamine, methadone, morphine, pethidine and quinalbarbitone, and their metabolites, artifacts and isomers are excepted from the provisions of this Rule.

(4) If any substance or preparation that could give rise to an offence under this rule if administered to a horse at any time is found at any time at any premises used in relation to the training or racing of horses then any owner, trainer or person who owns, trains or races or is in charge of horses at those premises is deemed to have the substance or preparation in their possession and such person shall be guilty of an offence.

(5) It shall be a defence to a charge under sub-rule (4) for the person in possession of such substance or preparation to prove that the substance or preparation was prescribed to him, for use by him, by either a qualified medical practitioner or veterinarian.

(6) Any person who attempts to obtain possession of a substance specified in sub-rule (2) or a metabolite, artifact or isomer of such substance, is guilty of an offence.

Stomach tubing, atomisers and other devices

- **Amend Rules 193(3), (4), (5) and (7)**

193. (3) A person shall not administer or allow or cause to be administered any medication to a horse on race day prior to such horse running in a race.

(4) Notwithstanding the provisions of sub-rule (3), a person, with the permission of the Stewards may administer or allow or cause to be administered any medication to a horse on race day prior to such horse running in a race.

(5) The Stewards shall order the withdrawal from a race any horse that has received any medication in contravention of sub-rule (3).

(7) A person who fails to comply with sub rules (1), (2) or (3) is guilty of an offence.

Holding of Drugs

- **Amend Rule 194**

194. A person who procures or attempts to procure or who has in his possession or on his premises or under his control any substance or preparation that has not been registered, labelled, prescribed, dispensed or obtained in compliance with relevant State and Commonwealth legislation is guilty of an offence.

14. GENERAL OFFENCES

Horses

- **Add new Rule 213C**

213C. A person shall not have in their possession at a racecourse at which a meeting is being conducted any electrical, mechanical or galvanic device, equipment, appliance or apparatus which can be used to treat a horse.

Illegal betting

- **Add new sub-rule 235A(4)**
- **Amend existing sub-rule 235A(4) and renumber as 235A(5)**
- **Renumber existing sub-rule 235A(5) to 235A(6)**

235A. (4) The connections must not lay any horse that is or may be entered by them or on their behalf, save that a bookmaker may lay a horse in accordance with his licence.

(5) Where under sub-rules (1), (2), (3) and (4) it is an offence for a person to lay a horse, it shall also be an offence for that person to:

(a) have a horse laid on his behalf;

(b) receive any monies or other valuable consideration in any way connected with the laying of the horse by another person.

(6) For the purposes of this rule “lay” means the offering or the placing of a bet on a horse:

(a) to lose a race;

(b) to be beaten by any other runner or runners;

(c) to be beaten by any margin or range of margins;

(d) that a horse will not be placed in a race in accordance with the provisions of Rule 49.

Employment

- **Amend Rule 236**

236. Except with the approval of the Controlling Body, a person shall not employ or use a disqualified person in connection with the care, training, management, supervision or racing of horses.

Corruption and related matters

- **Amend Rule 240**

240. A person shall not, whether alone or in association with others, do, permit or suffer anything before, during or after a race which in the opinion of the Stewards or Controlling Body may cause someone to be unlawfully advantaged or disadvantaged or be penalised or is corrupt or otherwise improper.

Alcohol and related matters

- Repeal Rule 250 and add new Rule 250
- Repeal Rule 251 and add new Rule 251
- Repeal Rule 252 and add new Rule 252
- Repeal Rule 252A and add new Rule 252A
- Add new Rule 252B
- Renumber existing Rule 250A as Rule 252C
- Renumber existing Rule 251 as Rule 252D
- Renumber existing Rule 252 as Rule 252E
- Amend and renumber existing Rule 252A as Rule 252F

250. (1) A driver commits an offence if:-

- (a) A sample taken from him is found upon analysis to contain a substance banned by Rule 251, or
- (b) He refuses or fails to deliver a sample as directed by the Stewards, or tampers with or in any way hinders the collection of such sample.

(2) A driver may be prevented by the Stewards from driving in a race or anywhere on a racecourse if in their opinion, based on any information, their own observations or on medical or other competent advice, his faculties may be impaired by any substance banned by Rule 251 or by any other cause.

(3) In the event of an analysis of a sample taken from a driver indicating the presence of a substance banned by Rule 251, or if a driver refuses or fails to deliver a sample when directed to do so, or tampers with or in any way hinders the collection of such sample, the Stewards may forthwith, pending the determination of any inquiry or other proceeding or the result of any other analysis, stand down such person from driving.

(4) In the event of a driver incurring a penalty or being prevented from driving under this rule he shall not resume driving until he delivers a sample, as directed by the Stewards, that is free of any substance banned by Rule 251.

(5) For the purposes of sub-rule (4) a urine sample provided by a driver shall only be declared free of any substance banned by Rule 251 if the sample contains a creatinine concentration of 200 mg/L or greater. In the event that a driver provides a urine sample which does not contain this concentration, the driver shall be required to deliver a further urine sample or samples at the direction of the Stewards.

251. The following substances and/or their metabolites, artifacts, and isomers are declared as banned substances in drivers when present in a urine sample (unless otherwise stated) at a concentration above the applicable cut-off level:-

- (a) Lysergic acid diethylamide (LSD) (0µg/L);
- (b) All barbiturates (0µg/L); All Cannabinoids - substances in this group include, but are not restricted to, 11-Nor-delta-9-tetrahydrocannabinol-9-carboxylic acid (15µg/L); Synthetic cannabinoid analogues and/or their metabolites, such as JWH-018, JWH-073 and HU-210.
- (c) All diuretics (0µg/L): Probenecid: (0µg/L): Alcohol (at a concentration in excess of 0.02% on a breath analyser):

- (d) All stimulants – substances in this group include, but are not restricted to, Amphetamine (150µg/L): Methylamphetamine (150µg/L): Methylenedioxyamphetamine (MDA) (150µg/L): Methylenedioxyethylamphetamine (MDEA) (150µg/L): Methylenedioxymethylamphetamine (MDMA) (150µg/L): Methylphenidate (0µg/L): Modafinil (0µg/L): Cocaine (100µg/L): Ephedrine (10,000µg/L).
- Substances in this group excluded are: Levo-amphetamine: Levo-methylamphetamine: Phenylpropanolamine: Pseudoephedrine.
- (e) All anorectics – substances in this group include, but are not restricted to, Phentermine (500µg/L): Diethylpropion (0µg/L): Sibutramine (0µg/L).
- (f) All opiates and opioids – substances in this group include, but are not restricted to, Morphine (0µg/L, save as specified by Rule 252: Codeine (0µg/L, save as specified in Rule 252): Oxycodone (0µg/L): Fentanyl (0µg/L): Alfentanil (0µg/L): Pethidine (0µg/L): Methadone (0µg/L): Heroin (0µg/L): Monoacetylmorphine (0µg/L): Hydromorphone (0µg/L): Buprenorphine (0µg/L).
- Substances in this group excluded are: Dihydrocodeine: Dextromethorphan: Pholcodine: Propoxyphene: Tramadol
- (g) All dissociative anaesthetics and related substances – substances in this group include, but are not restricted to: Ketamine (0µg/L): Phencyclidine (0µg/L): Tiletamine (0µg/L).
- (h) Gamma-hydroxybutyrate (GHB) and pro-drugs of GHB (1,4-butanediol: gammabutyrolactone) (10,000µg/L).
- (i) Benzylpiperazine (500µg/L) and phenylpiperazine (0µg/L) and their derivatives (0µg/L).
- (j) Tryptamine derivatives (0µg/L) (e.g. dimethyltryptamine: alphanethyltryptamine: hydroxydimethyltryptamine and related substances)
- (k) All benzodiazepines – substances in this group include: but are not restricted to: Diazepam (200µg/L): Nordiazepam (200µg/L): Oxazepam (200µg/L): Temazepam (200µg/L): Alprazolam (100µg/L, as alpha-hydroxyalprazolam): Clonazepam (100µg/L, as 7-aminoclonazepam): Flunitrazepam (100µg/L, as 7-aminoflunitrazepam): Nitrazepam (100µg/L, as 7-aminonitrazepam): Bromazepam (0µg/L): Clobazam (0µg/L): Flumazenil (0µg/L): Lorazepam (0µg/L): Midazolam (0µg/L): Triazolam (0µg/L): and substances with similar structure or pharmacological activity – benzodiazepine receptor agonists (zalplon: zolpidem: zopiclone).

252. Notwithstanding the provisions of Rule 251, when Codeine and/or Morphine are detected in a sample taken from a driver then the sample shall be deemed not to contain Codeine and Morphine if:-

- (a) The total Codeine and Morphine concentration is less than 2,000µg/L; or
- (b) The total Codeine and Morphine concentration achieved in confirmatory testing is in the range 2,000 – 15,000µg/L inclusive and at least one of the following applies:-
- (i) The Codeine to Morphine ratio contained in the sample is greater than 1.0;
or

- (ii) The driver satisfies the Stewards that there is no illegal use of opiates or opioids by the driver.

252A. The Stewards may stay in whole or in part, and for such period and under such terms and conditions as they think fit, the operation of any penalty imposed for a breach of Rule 250. Provided that, in the event of any failure to comply with any of the terms and conditions of the stay, the Stewards may order that the penalty take effect.

252B. Notwithstanding the provisions of Rule 250, a Controlling Body may permit a driver to receive a specified banned substance, subject to the following conditions:-

- (a) The medication must be essential treatment for a substantial illness, condition or ailment suffered by the driver.
- (b) The medication must be prescribed by a medical practitioner.
- (c) The medical practitioner must certify:-
 - (i) The nature of the illness, condition or ailment being suffered by the driver.
 - (ii) That no alternative, non-banned substance would serve the same purpose for the illness, condition or ailment concerned.
 - (iii) That the medication would not affect the driver in a race or track-work to the extent that it could in any way constitute a danger to the driver or other drivers.
- (d) The driver must if requested submit to medical examination by a medical practitioner appointed by a Controlling Body to advise it on the matters raised in sub-paragraphs (i), (ii) and (iii) of paragraph (c) of this rule.
- (e) The driver must:-
 - (i) Before driving any horse make application to the Controlling Body for permission to drive with a specifically prescribed banned substance in his system.
 - (ii) Adhere strictly to his prescribed medication and must report to the Stewards immediately he forms the intention to discontinue or in any way vary his prescribed medication.
 - (iii) Report to the Stewards immediately he believes that either his illness, condition or ailment or his medication may have some influence on his ability to drive effectively and/or safely.
 - (iv) Renew his application for exemption on each occasion on which he applies for the renewal of his licence.
- (f) Under no circumstances shall a person be granted retrospective exemption under this rule.

252C. A licensed person, other than a driver at a meeting, when carrying on or purporting to carry on a licensed activity shall not be, in the opinion of the Stewards, under the influence of alcohol or other drugs.

252D. A person at a meeting when carrying on or purporting to carry on official duties related to the care and control of horses or the conduct of a race shall not be, in the opinion of the Stewards, under the influence of alcohol or other drugs.

252E. (1) Subject to sub-rule (2) a person shall not have any alcohol or drug of abuse in his or her body when carrying on or purporting to carry on a licenced activity or official duties at a meeting.

(2) When Codeine and/or Morphine are detected in a sample taken from a person, the sample shall be deemed not to contain Codeine and Morphine if:

- (a) the total Codeine and Morphine concentration is less than 2,000µg/L; or
- (b) the total Codeine and Morphine concentration achieved in confirmatory testing is in the range 2,000 to 15,000µg/L inclusive and at least one of the following applies:
 - (i) the Codeine to Morphine ratio contained in the sample is greater than 1.0; or
 - (ii) the person satisfies the Stewards that there is no illegal use of opiates or opioids by that person.

(3) Sub-Rule (1) does not apply to an official or employee of a club whose official duties at a meeting are unrelated to the care and control of horses or the conduct of a race.

252F. For the purposes of Rules 250, 252C, 252D and 252E:

(a) the Stewards may administer any test or use any equipment as they consider appropriate;

(b) A urine sample provided by a person to whom those rules apply shall only be declared free of any banned substance if the sample contains a creatinine concentration of 200mg/L or greater. In the event that the urine sample does not contain this concentration, the person shall be required to deliver a further urine sample or samples as directed by the Stewards.

(c) the following substances and/or their metabolites, artifacts and isomers are declared as banned substances when their presence is detected by a breath analysing instrument at a concentration in excess of the applicable threshold level:

alcohol at a concentration in excess of 0.02%

18. ARTIFICIAL BREEDING

Embryo Transfer

- **Add new sub-rule 285A(15)**

285A. (15) In a breeding season if more than one foal is born of a donor mare then only the first born foal is eligible for registration.

21. GENERAL MATTERS

Notification

- **Add new sub-rule 307(d)**
- **Renumber existing sub-rule 307(d) to 307(e)**

307. (1) Where the Controlling Body imposes a requirement or makes or gives an order, direction, decision, determination or the like under these rules which is of general application or of general interest to participants in the harness racing industry, it may give notice thereof -

- (d) by publishing it on a Controlling Body website; or
- (e) by publishing it in some other format of general distribution.

22. MONTÉ RACING

ADD new section to Rules Book - Rules 315 - 334

315. The Rules in this Part are to be read together with all other Rules and in the event of a conflict, the Rules in this Part shall prevail.

316. In this Part:-

- (1) “*Rider*” means a person who rides a horse in a race approved by the Controlling Body as a Monté race and for the purposes of these Rules including the imposition of penalties for breaches of the Rules, rider shall where applicable include “*driver*” as defined in these Rules.
- (2) “*Monté Race*” means a race in which the competing horses are ridden by a licensed rider.
- (3) “*Horse*” means a standardbred horse that has:-
 - (a) attained the age of 3 years;
 - (b) qualified as a trotter;
 - (c) started in no less than three trotting races and a Monté trial.

Gear

317. Gear used in connection with Monté racing shall be approved by the Controlling Body.

318. (1) Applications concerning gear shall be made on form R25-M.

(2) Part B of form R25-M must be completed and lodged with the Controlling Body no later than 48 hours before the nomination of a horse for a race.

(3) In the event of a change to any of the particulars entered on Part B of form R25-M a fresh gear form containing current particulars shall immediately be lodged with the Controlling Body.

319. In the case of a horse to compete in a race, application to change any gear must be made to the Controlling Body by the connections at a time to be determined by the Controlling Body.

320. An application to change any gear shall be made to the Controlling Body on form R25-M.

Whips

321. (1) A rider shall only use a non-modified whip of a design and specification approved by the Controlling Body.

(2) A rider shall only use a whip forward of the saddle.

(3) A rider shall at all times when using a whip keep hold of the reins.

Reins

322. (1) A rider shall only use reins of a design and specification approved by the Controlling Body.

(2) Approved reins cannot be attached with a carabineer or snap-hook.

(3) A rider shall when mounted on a horse hold the reins in both hands.

Helmets

323. A rider shall when mounted on a horse wear a properly affixed helmet which has been entered in the Helmet Register approved by Harness Racing Australia.

Vests

324. A rider shall when mounted on a horse wear a properly fastened safety vest which has been approved by Harness Racing Australia.

Footwear

325. (1) A rider shall when mounted on a horse wear riding boots approved by the Controlling Body.

(2) Riding boots shall have a heel and not have spurs attached.

Saddle

326. (1) A rider shall when mounted on a horse use a saddle which has been approved by the Controlling Body.

(2) An extra girth strap or surcingles shall be used to tighten the girth if there is only one strap fitted to each side of the saddle.

- (3) If a head-check is used on a horse then:-
- (a) The head-check must be attached to the saddle.
 - (b) The saddle must be used in conjunction with a crupper.

Stirrups

327. A rider shall when mounted on a horse use stirrups approved by the Controlling Body.

Breast Plate

328. A breast plate shall be fitted to a horse competing in a race.

Weight

- 329.**
- (1) The minimum weight to be carried by a horse including the rider and all gear except the bridle and reins shall be 65 kg.
 - (2) The maximum weight to be carried by a horse including the rider and all gear except the bridle and reins shall be 105 kg.
 - (3) Subject to sub-rule (4), when a race has been run every rider shall immediately after pulling up ride his horse to the place of weighing and when told by the Stewards so to do and not before, there dismount and the riders of the placed horses and such other riders as directed by the Stewards shall be weighed to the satisfaction of the Stewards.
 - (4) If a rider be prevented by accident, illness or other cause deemed sufficient by the Stewards from riding to the place of weighing he may walk or be carried to the scales. If, in the opinion of the Stewards, it is impracticable to weigh in a rider, his horse shall not be disqualified if the Stewards are of the opinion that he carried his correct weight.

Weighing In

330. If a horse carries less than 65 kg it shall be disqualified from the race and the rider and/or any other person may be penalised.

Horses

331. A horse that in the opinion of the Stewards gallops in the final 200 metres of a race shall be disqualified from the race.

Riders

- 332.**
- (1) A rider shall at all times during the course of a race ensure that all other horses have a clear passage.
 - (2) A rider who fails to comply with sub-rule (1) is guilty of an offence.

Mobile Starts

333. Unless otherwise approved by a Controlling Body, in a race conducted with a mobile start:

- (a) A horse shall wear a head-check.
- (b) There shall not be more than one row of horses.

Licences

- 334.** (1) A person who holds a driver's licence or trainer's licence pursuant to Part 4 of these Rules shall complete at least one Monté trial to the satisfaction of the Stewards.
- (2) An unlicensed person or a person who holds a stable hand licence pursuant to Part 4 of these Rules must complete at least three (3) Monté trials to the satisfaction of the Stewards.
- (3) A person who is licensed as a jockey by a Principal Racing Authority is exempt from completing a Monté trial.

AUSTRALIAN TROTTING STUD BOOK REGULATIONS

Repeal Stud Book Regulation 9 (HRA approved 28 October 2013)

Summary of Amendments – HRA approved 28 October 2013

RULES AMENDED

41(1), (2), 44(3), 149(4), (5), 160(1), 193(3), (4), (5), (7), 194, 235A(4), 236, 240, 252A

NEW RULES / SUB-RULES

41(3), 44(5), 173(4), 213C, 235A(4), 252B, 285A(15), 307(d)

RULES REPEALED

existing Rule 190A repealed and add new Rule 190A

Repeal Rule 250 and add new Rule 250

Repeal Rule 251 and add new Rule 251

Repeal Rule 252 and add new Rule 252

Repeal Rule 252A and add new Rule 252A

RULES RENUMBERED

Renumber 235A(4) as Rule 235A(5)

Renumber 235A(5) as Rule 235A(6)

Renumber 307(d) as Rule 307(e)

Renumber existing Rule 250A as Rule 252C

Renumber existing Rule 251 as Rule 252D

Renumber existing Rule 252 as Rule 252E

Amend and renumber existing Rule 252A as Rule 252F

ADD DICTIONARY DEFINITIONS

"Bet" or "Betting"

"Race day" or "day of the race"

AUSTRALIAN TROTTING STUD BOOK REGULATIONS

Repeal Regulation 9